

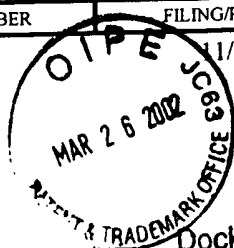
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#5

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/992,860	11/14/2001	Kuang Yu Chen	RU-0173



Licata & Tyrrell P.C.  
66 East Main Street  
Marlton, NJ 08053

Docket System ☒  
Status Report ☒  
Docket Book ☒

CONFIRMATION NO. 6007

FORMALITIES LETTER



\*OC000000007201674\*

Date Mailed: 12/17/2001

02/17/02  
MAX 06/17/02

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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Nanda P.B.A. Kumar • 215.241.7991 • nkumar@reedsmith.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Kuang Yu Chen, et al.

Application No.:  
09/992,860

Filed: November 14, 2001

For: **Black Tea Extract for Prevention of Disease**

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Examiner: To be Assigned

Group Art Unit: 1645

Attorney Docket No. RU-0173  
(892952-20006)

Sir:

In response to the Notice to File Missing Parts mailed on December 17, 2001, enclosed for filing please find a Response to Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b) for the above-identified invention. The following documents are submitted herewith:

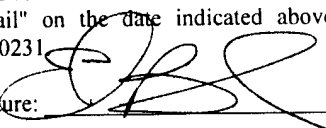
- 1) Transmittal Letter;
- 2) Copy of Notice to File Missing Parts of Nonprovisional Application;
- 3) Response to Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b);
- 4) Declaration and Power of Attorney executed by inventors Kuang Yu Chen, Chi-Tang Ho, Robert T. Rosen, and Geetha Ghai;
- 5) Request for One-Month Extension of Time;
- 6) Check in the amount of \$120.00 (to cover the \$65.00 filing fee and the \$55.00 One-Month Extension of Time Fee); and
- 7) Postcard.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.10**

Date of Deposit: March 15, 2002

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited with the U.S. Postal Service "First-Class Mail" on the date indicated above and is addressed to the Commissioner of Patents, Box Missing Parts, Washington, D.C. 20231

Name: Elaine B. Flynn

Signature: 

1650 Market Street  
Philadelphia, PA 19103-7301  
215.851.8100  
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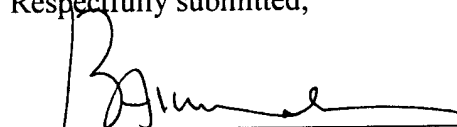
Commissioner for Patents  
March 15, 2002  
Page 2

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The Commissioner is hereby authorized to charge any additional fees required or credit any overpayment in connection with this filing to Deposit Account No. 18-0586

Respectfully submitted,

Dated: March 15, 2002



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PATENT  
Attorney Docket No. RU-0173

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Examiner: To be Assigned  
Kuang Yu Chen, et al. :  
 :  
 : Group Art Unit: 1645  
Application No.: :  
09/992,860 :  
 :  
 :  
Filed: November 14, 2001 :  
 :  
 :  
For: **Black Tea Extract for Prevention of Disease** :

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION FILING DATE GRANTED**

Dear Sir:

This is in response to a Notice to File Missing Parts in the above NonProvisional Application (PTO 1553) mailed by the U.S. Patent Office on December 17, 2001. This response is being filed along with a one-month extension of time, the deadline for response being **March 17, 2002**.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.10**

Date of Deposit: March 15, 2002

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited with the U.S. Postal Service "First-Class Mail" on the date indicated above and is addressed to the Commissioner of Patents, Box Missing Parts, Washington, D.C. 20231.

Name: Elaine B. Flynn

Signature: 